

PAO (Sectt)/HUA/Admn/Advice /2023-24/ 2362-63

GOVERNMENT OF INDIA

PAO(sectt),M/o Housing & Urban Affairs

507-C(Wing),Nirman Bhawan,New Delhi

Telephone No: 23062664 Fax No: 23062664

To,
The General Manager,
Reserve Bank of India,
Central Accounts Section,
Additional Office Building,
East High Court Road,
NAGPUR - 440 001

Code No:	707
Advice No:	705
Advice Date:	02/02/2024

Sir,

Please debit our account with Rs.4,05,000/- (Four Lakh Five Thousand Only.) by contra credit to the following accounts of the Governments with the amounts mentioned against each:

Month and Year of Accounts: February,2024

The Amount to be Settled: February,2024

Sl.No.	Name of the State	State Code	Scheme Code	Amount	Sanction No. and Date
1	UTTAR PRADESH	115	1989-STATE AND UT GRANTS UNDER PMAY (URBAN)	4,05,000	N-11012/34/2019-HFA-V-UD (FTS-9062286) dated 01/02/2024
GRAND TOTAL:				4,05,000	

Signature of the authorized official

Varsha Sharma

(Varsha Sharma)

Sr. Accounts Officer

- 1.O/o the Accountant General (A&E) I, Uttar Pradesh, 20, Sarojini Naidu Marg, Prayagraj-211001.
2. Sh. B.K. Mandal ,US ,(HFA-V), Nirman Bhawan, New Delhi.

① SO-HFA

② Man - Gt *BN Daj*
13/02/2024

③ MIS-HFA

Binayash
8/2/24

Room No.3, Technical Cell, Gate No.7,

Nirman Bhawan, New Delhi-110011

Dated: 01.02.2024

To

The Pay & Accounts Officer (Sectt.),
Ministry of Housing and Urban Affairs,
Nirman Bhawan, New Delhi-110011

Sub.: Release of Rs. 4.05 lakh to State Govt. of Uttar Pradesh as 1st instalment of Central Assistance for FYs 2021-22 and 2022-23 for conducting Social Audit under Capacity Building activities of PMAY-U Mission– reg.

Sir,

I am directed to convey the sanction of competent authority to release an amount of **Rs. 4,05,000/- (Rupees Four Lakh and Five Thousand only)** to State Govt. of Uttar Pradesh as 1st instalment of Central Assistance for FYs 2021-22 and 2022-23 for conducting Social Audit under Capacity Building activities of Pradhan Mantri Awas Yojana - Urban (PMAY-U) Mission under SLS UP15 – UP-PRADHAN MANTRI AWAS YOJANA (URBAN) (1989). The details of release are as under:

(Rs. in lakh)

Name of the State	No. of projects selected for Social Audit	No. of Dwelling Units (DUs) covered for Social Audit	No. of cities	Name of Independent Facilitating Agency (IFA)	Proposed budget	Permissible Budget as per norms	Admissible Central Assistance	Funds being released in this sanction as 1 st instalment of Central Assistance (50%)
Uttar Pradesh	10	1,192 (2021-22)	9	RCUES	4.95	5.50	4.95	2.475
	7	1,897 (2022-23)	5		3.15	3.50	3.15	1.575
Total	17	3,089	14	-	8.10	9.00	8.10	4.05

2. Earlier, fund of Rs. 11.75 lakh was released to State Govt. of Uttar Pradesh as 1st instalment of Central Assistance for FYs 2017-18, 2018-19, 2019-20, 2020-21 and 2nd instalment of Central Assistance for FY 2017-18 after submitting the documents mandated for release of Central Assistance for conducting Social Audit vide sanction letter No. N-11012/82/2019-HFA-V-UD (FTS-9117948) dated 28.12.2021 and 06.09.2022, and sanction letter of even number dated 30.03.2023. The State has submitted the Utilization Certificates (UCs) of Rs. 11.40 lakh against the said release, which are **enclosed** herewith.

3. State Govt. of Uttar Pradesh has submitted documents mandated for release of 1st instalment of Central Assistance for conducting Social Audit.

4. The above grant is subject to the following conditions:

- i. PMAY-U being a Centrally Sponsored Scheme (CSS), the State Govt. should strictly follow the revised procedure of fund flow as per O.M. No. 1(13) PFMS/FCD/2020 dated 23rd March 2021 issued by Department of Expenditure, Ministry of Finance, Government of India and subsequent instructions issued in this regard. These instructions have been made effective from 1st July 2021 which inter-alia provides that
 - a. Based on the authorization, the State Govt. shall transfer the Central Assistance as well as commensurate State share to the Single Nodal Account (SNA) within 30 days of receipt of Central Assistance failing which penal interest at the rate of 7% per annum will be charged on the number of days of delay beyond 30 days in transfer of Central Assistance to the SNA account.
 - b. Central Assistance along with State share, if any are to be kept by the Nodal Agency in the Single Nodal Account (SNA) opened in a Scheduled Commercial Bank for the purpose and the same is mapped in PFMS. The nodal agency will allocate drawing limits to Implementing Agencies (IAs) having zero balance subsidiary accounts duly mapped in PFMS and linked to SNA account for drawal of funds on real time basis from the Single Nodal Account.
 - c. The nodal agency shall ensure that the interest earned from the funds released, should be mandatorily remitted to respective consolidated funds on pro-rata basis in terms of rule 230(8) of GFR 2017.
 - d. SNAs and IAs will mandatorily use the DBT/EAT module of PFMS or integrate their systems with the PFMS to ensure that information on PFMS is updated by each IA at least once every day.
 - e. SNA will keep all the funds received in the Single Nodal Account only and shall not divert the same to Fixed Deposits/Flexi-Account/Multi-Option Deposit Account/Corporate Liquid Term Deposit (CLTD) Account etc.
 - f. Further releases under the scheme will be made only after transfer of entire Central Assistance released till date along with corresponding State share, if any, in the SNA account and utilization of 75% of earlier releases along with corresponding State share.
- ii. The State will conduct Social Audit as per the Capacity Building Guidelines issued by the Ministry.
- iii. 5-10% of the approved projects are to be selected for Social Audit with minimum size of at least 100 DUs grounded with beneficiaries identified.
- iv. Remaining 50% of Central Assistance would be released subject to submission of City-wise list of Social Audit Committee members, copy of sample tools used for Social Audit & State Level Social Audit report, duly signed by the State Mission Director/competent authority.
- v. Further, remaining 50% of Central Assistance would be released as per actual and on receipt of Utilization Certificate along with Undertaking (in prescribed format mentioned in Capacity Building Activities - Norms, Fund Disbursal Processes and Plan Templates) from the State.
- vi. The State will comply with the terms and conditions stipulated in the guidelines, CSMC directions and instructions issued by this Ministry.
- vii. The State will utilize the sanctioned grant for the purpose for which it is released.
- viii. The State Governments/Nodal Agency shall strictly monitor the progress of the preparatory work.



- ix. The amount of Central Assistance approved will form a part of capacity building plan of the State under PMAY-U.
- x. Where there is an element of cash transfer, if any, the same may be made through PFMS/DBT mode as applicable.
4. The amount involved is debitible to the following Head of Account under Demand No. 60 of the Ministry of Housing and Urban Affairs for the year 2023-24:

Major Head	3601	Grants-in-aid to State Governments
Sub-Major Head	06	Centrally Sponsored Schemes
Minor Head	101	Central Assistance/Share
Sub Head	31	Pradhan Mantri Awas Yojana (Urban)
Detailed Head	01	Assistance to State Govts. For PMAY(U)
Object Head	31.01.31	Grants-in-Aid General

5. The amount will be credited to the State Government's Accounts at Reserve Bank of India as per procedure laid down by Ministry of Finance, Department of Expenditure in this regard.
6. This being the first instalment of Central Assistance, no UC is required/due for above release.
7. As per rule 236(1) of GFR, 2017, the relevant accounts of the Grantee Institution(s)/ Organisation(s) shall be open to inspection by the sanctioning authority and audit, both by the Comptroller and Auditor General of India under the provision of CAG (DPC) Act 1971 and internal audit by the Principal Accounts Office of the Ministry or Department, whenever the Institution or Organisation is called upon to do so.
8. This issues with the concurrence of the Integrated Finance Division vide **Note # 126 of even number dated 25.01.2024.**
9. The sanction has been registered at **S. No. 419** of the Grants-in-Aid Register of the HFA Division for the year 2023-24.

Yours faithfully,


(B.K. Mandal)

Under Secretary to the Govt. of India
Tel.: 011-23063285

Encl.: As above.

Copy to:

1. Principal Secretary, Urban Development Department, State Government of Uttar Pradesh, Lucknow
2. Accountant General (A&E), Uttar Pradesh
3. CGM, RBI, CAS, Nagpur
4. Niti Aayog, SP Divn./FR Divn., New Delhi
5. Dir. (HFA-III & V), MoHUA
6. Dir. (IFD), MoHUA
7. DS (Budget), MoHUA
8. Section Officer (Admn-II), MoHUA, Nirman Bhawan, New Delhi
9. PMU(MIS), HFA Directorate

10. Dy. Chief (MIS), HFA Directorate may place this sanction at appropriate place on the website of the Ministry.
11. Sanction folder

B.K. Mandal
01/07/2024

(B.K. Mandal)

Under Secretary to the Govt. of India

Form GFR 19
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Form GFR 19 A
[See Rule 212(1)]

Form of Utilization Certificate

Certified that out of Rs. 2.75 Lac grants- in- aid sanctioned during the year 2021-22 in favour of **State Urban Development Agency U.P.** under this ministry/Development letter no. given in the margin and Rs. -0.00 Lac on account of unspent balance of the previous year, a sum

S. No.	Letter No. and date	Amount (Rs. In Lakhs)
1	Fund Received: No. N - 11012/82/2019-HFA-V- UD (FTS - 9117948) Dated: 28/12/2021	2.75
	Total	2.75

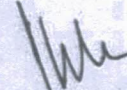
of Rs. 2.75 Lac has been utilized for the purpose of **SOCIAL AUDIT EXPENSES UNDER PMAY-HFA (U) MISSION** for the **Financial Year 2020-21** for which it was sanctioned and that the balance Rs 0.00 Lac remaining unutilized, for which UC to be sent at the earliest or will be adjusted towards the grant -in-aid payable


2- Certified that I have satisfied myself that the conditions on which the grants-


in-aid was sanctioned have been duly fulfilled/are being fulfilled and that I have exercised the following checks to see that money was actually utilized for purpose for which it was sanctioned.

Kind of checks exercised.

Expenditure Checked as per Ledger under head of **Social Audit for Financial Year 2020-21 - PMAY-HFA(U) MISSION**


Programme Officer
SUDA, UP
(अतुल सिंह चौहान),
कार्ड सुडा


Finance Controller
SUDA, UP


Director/SLNA
SUDA, UP
निदेशक
सुडा

Principal Secretary
Urban Employment and Poverty Alleviation Department
Government of Uttar Pradesh
(अमृत अभिजाति)
प्रमुख सचिव,
नगर विकास, नगरीय रोजगार एवं
गरीबी उन्मूलन कार्यक्रम विभाग,
उत्तर प्रदेश शासन।

Form GFR 19
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Form GFR 19 A
[See Rule 212(1)]

Form of Utilization Certificate

Certified that out of Rs. 1.75 Lac grants- in- aid sanctioned during the year 2022-23 in favour of **State Urban Development Agency U.P.** under this ministry/Development letter no. given in the margin and Rs. -0.00 Lac on account of unspent balance of the previous year, a sum

S. No.	Letter No. and date	Amount (Rs. In Lakhs)
1	Fund Received: No. N - 11012/82/2019-HFA-V-UD (FTS - 9117948) Dated: 06/09/2022 For Financial Year 2017-18 amount Rs. 1.75 lakhs released in above sanction.	7.25
	Total	7.25

of Rs. 1.75 Lac has been utilized for the purpose of **SOCIAL AUDIT EXPENSES UNDER PMAY-HFA (U) MISSION** for the **Financial Year 2017-18** for which it was sanctioned and that the balance Rs 0.00 Lac remaining unutilized, for which UC to be sent at the earliest or will be adjusted towards the grant -in-aid payable


2- Certified that I have satisfied myself that the conditions on which the grants-in-aid was sanctioned have been duly fulfilled/are being fulfilled and that I have exercised the

following checks to see that money was actually utilized for purpose for which it was sanctioned.

Kind of checks exercised.

Expenditure Checked as per Ledger under head of **Social Audit for Financial Year 2017-18 - PMAY-HFA(U) MISSION**


Programme Officer
SUDA, UP


Finance Controller
SUDA, UP


Director/SLNA
SUDA, UP


Principal Secretary
Urban Employment and Poverty Alleviation Department
Government of Uttar Pradesh

Form GFR 19
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Form GFR 19 A
[See Rule 212(1)]

Form of Utilization Certificate

Certified that out of Rs. 2.75 Lac grants- in- aid sanctioned during the year 2022-23 in favour of **State Urban Development Agency U.P.** under this ministry/Development letter no. given in the margin and Rs. -0.00 Lac on account of unspent balance of the previous year, a sum

S. No.	Letter No. and date	Amount (Rs. In Lakhs)
1	Fund Received: No. N - 11012/82/2019-HFA-V-UD (FTS - 9117948) Dated: 06/09/2022 For Financial Year 2018-19 amount Rs. 2.75 lakhs released in above sanction.	7.25
	Total	7.25

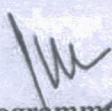
of Rs. 2.75 Lac has been utilized for the purpose of **SOCIAL AUDIT EXPENSES UNDER PMAY-HFA (U) MISSION** for the **Financial Year 2018-19** for which it was sanctioned and that the balance Rs 0.00 Lac remaining unutilized, for which UC to be sent at the earliest or will be adjusted towards the grant -in-aid payable

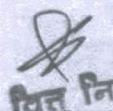
2- Certified that I have satisfied myself that the conditions on which the grants-in-aid was sanctioned have been duly fulfilled/are being fulfilled and that I have exercised the


following checks to see that money was actually utilized for purpose for which it was sanctioned.


Kind of checks exercised.

Expenditure Checked as per Ledger under head of **Social Audit for Financial Year 2018-19 - PMAY-HFA(U) MISSION**


Programme Officer
SUDA, UP
(अतुल सिंह, प्रधान),
कार्यक्रम अधिकारी, सूडा


Finance Controller
SUDA, UP


Director/SLNA
SUDA, UP
सूडा


Principal Secretary
Urban Employment and Poverty Alleviation Department
Government of Uttar Pradesh
(अमृत अग्निजाति)
प्रमुख सचिव,
नगर विकास, नगरीय रोजगार एवं
गरीबी उन्मूलन कार्यक्रम विभाग,
उत्तर प्रदेश

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[See Rule 212(1)]

Form of Utilization Certificate

Certified that out of Rs. 2.75 Lac grants- in- aid sanctioned during the year 2022-23 in favour of **State Urban Development Agency U.P.** under this ministry/Development letter no. given in the margin and Rs. -0.00 Lac on account of unspent balance of the previous year, a sum

S. No.	Letter No. and date	Amount (Rs. In Lakhs)
1	Fund Received: No. N - 11012/82/2019-HFA-V-UD (FTS - 9117948) Dated: 06/09/2022 For Financial Year 2019-20 amount Rs. 2.75 lakhs released in above sanction.	7.25
	Total	7.25

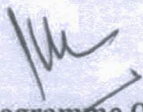
of Rs. 2.75 Lac has been utilized for the purpose of **SOCIAL AUDIT EXPENSES UNDER PMAY-HFA (U) MISSION** for the **Financial Year 2019-20** for which it was sanctioned and that the balance Rs 0.00 Lac remaining unutilized, for which UC to be sent at the earliest or will be adjusted towards the grant -in-aid payable

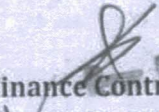
2- Certified that I have satisfied myself that the conditions on which the grants-in-aid was sanctioned have been duly fulfilled/are being fulfilled and that I have exercised the


following checks to see that money was actually utilized for purpose for which it was sanctioned.


Kind of checks exercised.

Expenditure Checked as per Ledger under head of **Social Audit for Financial Year 2019-20 - PMAY-HFA(U) MISSION**


Programme Officer
(अनुसंधान, SUDA, धौहान),
कार्यक्रम अधिकारी, सूडा


Finance Controller
SUDA, UP


Director/SLNA
SUDA, UP
निदेशिका
सूडा


Principal Secretary
Urban Employment and Poverty Alleviation Department
Government of Uttar Pradesh
(अमृत अभिजात)
प्रमुख सचिव,
नगर विकास, नागरीय रोजगार एवं
गरीबी उन्मूलन कार्यक्रम विभाग,
उत्तर प्रदेश

Form GFR 19
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Form GFR 19 A
[See Rule 212(1)]

Form of Utilization Certificate

Certified that out of Rs. **1.75 Lac** grants- in- aid sanctioned during the year **2022-23** in favour of **State Urban Development Agency U.P.** under this ministry/Development letter no. given in the margin and Rs. **-0.00** Lac on account of unspent balance of the previous year, a sum

S. No.	Letter No. and date	Amount (Rs. In Lakhs)
1	Fund Received: No. N - 11012/34/2019-HFA-V-UD (FTS - 9062286) Dated: 30/03/2023	1.75
	Total	1.75

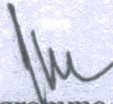
of Rs. **1.40 Lac** has been utilized for the purpose of **SOCIAL AUDIT EXPENSES UNDER PMAY-HFA (U) MISSION** for the **Financial Year 2017-18** for which it was sanctioned and that the balance Rs 0.35 Lac remaining unutilized, which will be adjusted towards the grant - in-aid payable

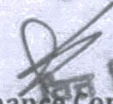
2- Certified that I have satisfied myself that the conditions on which the grants-in-aid was sanctioned have been


duly fulfilled/are being fulfilled and that I have exercised the following checks to see that money was actually utilized for purpose for which it was sanctioned.


Kind of checks exercised.

Expenditure Checked as per Ledger under head of **Social Audit for Financial Year 2017-18 - PMAY-HFA(U) MISSION**


Programme Officer
SUDA, UP
(अतुल सिंह चौहान),
कार्यक्रम अधिकारी, सूडा


Finance Controller
SUDA, UP


Director/SLNA
SUDA, UP
निदेशक
सूडा


Principal Secretary
Urban Employment and Poverty Alleviation Department
Government of Uttar Pradesh
(अमृत अभिजात)
प्रमुख सचिव,
नगर विकास, नगरीय रोजगार एवं
गरीबी उन्मूलन कार्यक्रम विभाग,
उत्तर प्रदेश शासन।